Data Protection and Smart Grid Communication

The European Perspective –

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Europe is different.





Regulatory Approach

and

Privacy / Data Protection





EU Givens







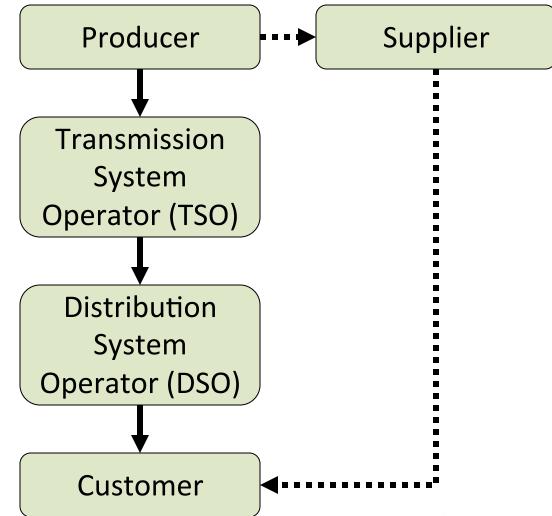
"The Utility"





EU Givens: Energy Regulation

Physical flow
Logical (virtual) flow





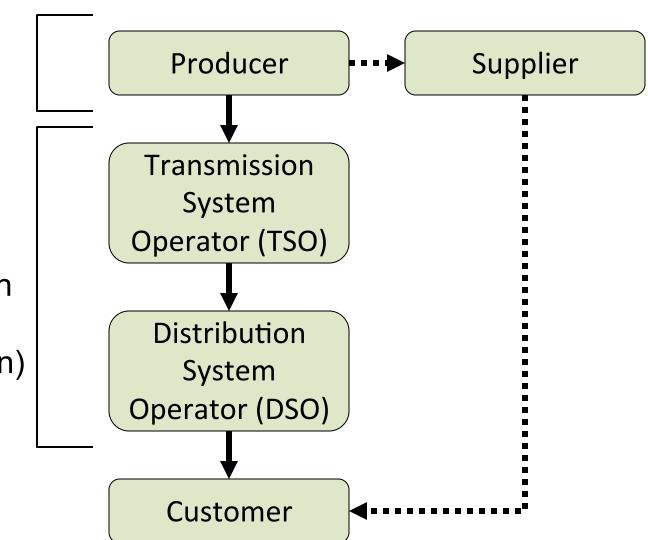


EU Givens: Energy Regulation

Market-driven

"Local Natural Monopolies"

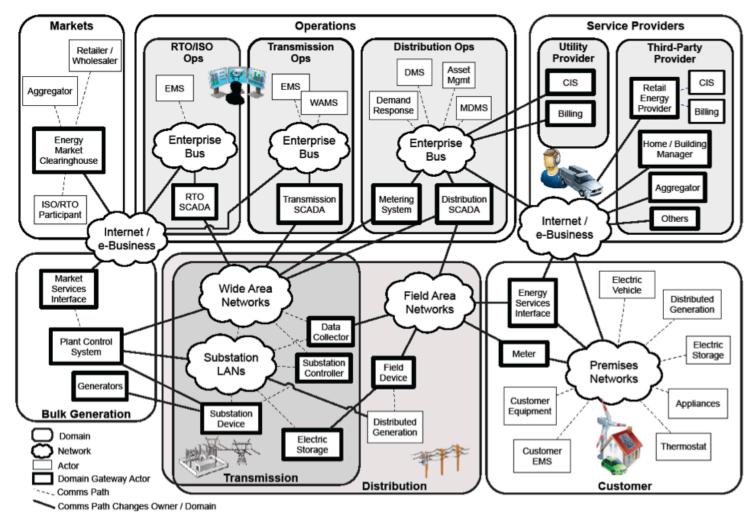
- → Strict Regulation
- → Unbundling (incl. Information)







Domains vs. Unbundled Actors

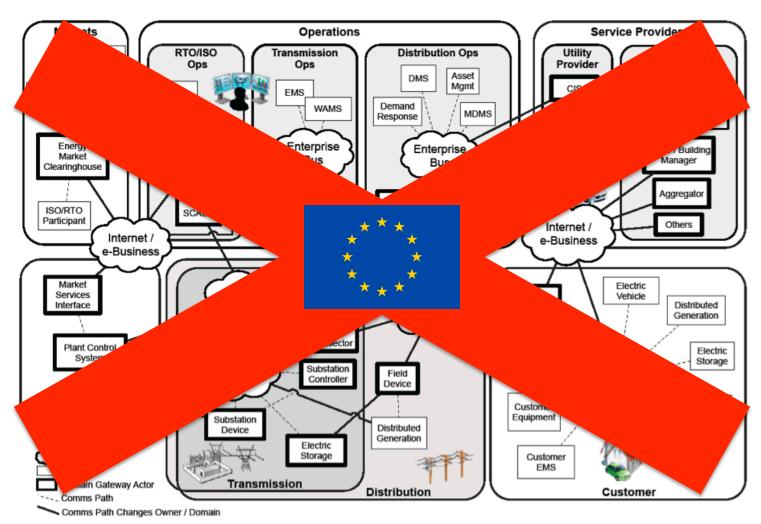


Source: NISTIR 7628 – Smart Grid Cyber Security Strategy and Requirements – "September Draft 2009"





Domains vs. Unbundled Actors









"Informational Unbundling"

Smart Meter

TSO

Needs high-res measurement data for balancing mechanism

(suppliers must align generation and consumption, aggregated data suffices)

Supplier

Needs high-res measurement data in individualized form for billing customers on the basis of dynamic tariffs

DSO

Needs the data necessary to calculate network fees having to be paid by supplier

(necessary data depends on national legislation)





EU Givens







EU Givens: Data Protection ("Privacy")

Any collection, processing and use of "personal data"...

... requires a **legitimation** for doing so, ...

... must serve well-specified and explicitly stated **purposes** and ...

... must be confined to the essential minimum amount of personal data.





"Data Needs" and Data Protection

TSO

Legitimation:

No contract; legal obligation inconsistent across EU

Data minimization:

Sum-values per supplier and/or DSO suffice under most legislations

→ Depersonalization via Aggregation_{TSO}

Purpose limitation:

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Supplier

Legitimation:

Contract with customer

Data minimization:

→ Confine resolution to interval of potential price changes

Purpose limitation:

May use for billing etc.; other purposes (targeted advertising) require separate legitimation (i.e. customer consent)

DSO

Legitimation:

No contract; legal obligation inconsistent across EU

Data minimization:

Sum-values per supplier suffice under most legislations

→ Depersonalization via Aggregation_{DSO}

Purpose limitation:

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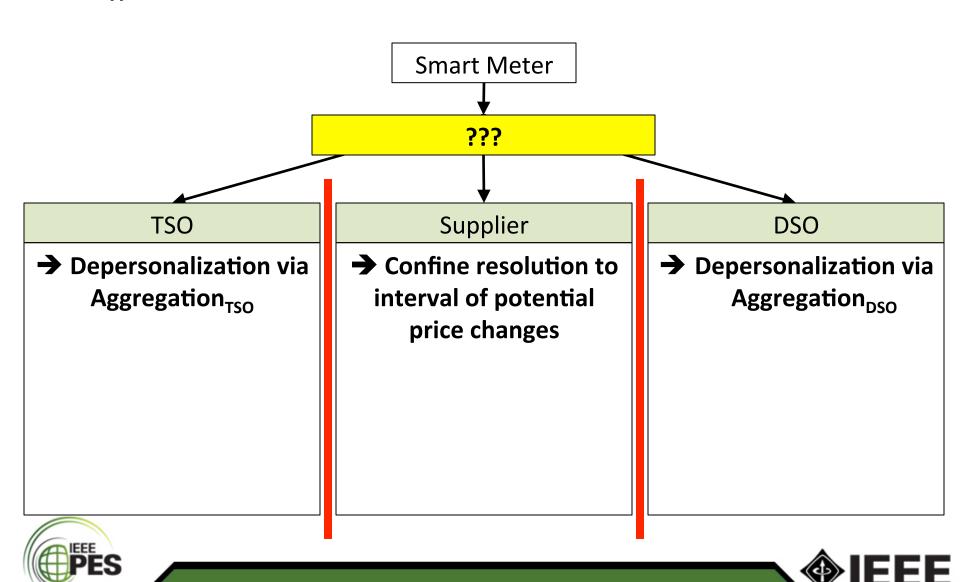
Implication

Any SG communication architecture that is to be deployed within the EU must provide different "restricted data views" to different market roles, depending on different legitimating bases



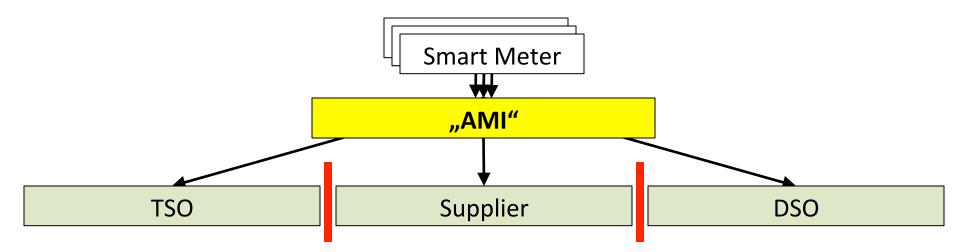


"Data Needs" and Data Protection



Power & Energy Society®

Approach 1: Central Database ("AMI")

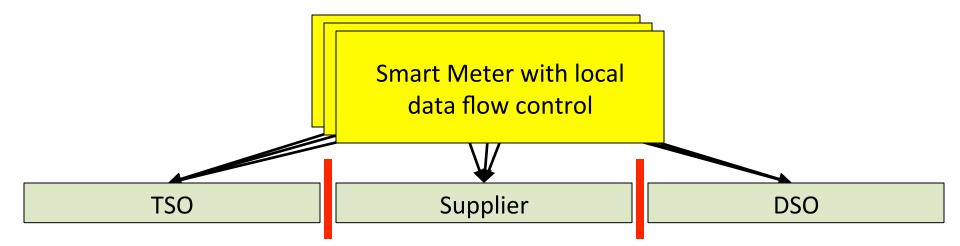


- → Who should operate? State / DSO / TSO?
- → No choice, customer is forced to "trust"
- → Conflicts with idea of "informational self-determination"
- → More or less attitude against centralized, inescapable databases across Europe (UK vs. D)





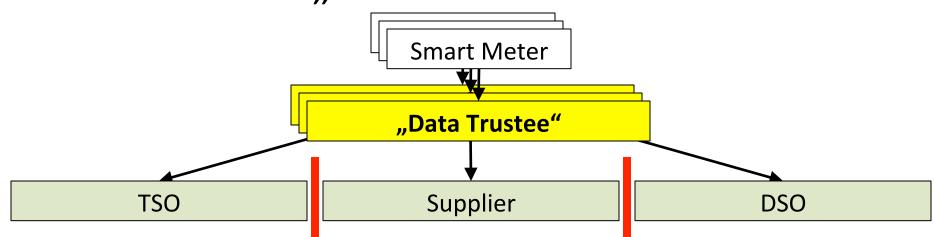
Approach 2: Acces Control at User Side







Approach 3: Customer-selectable "Data Trustees"



















Europe is different.





And don't underestimate the implications arising from regulatory givens.





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Backup slides



